

# Communication Requirements for Team Automata

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7 May 2018

# Topics of the talk

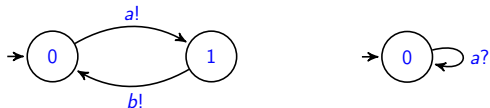
- Systems of communicating components which interact through message exchange.
- *Here:* Synchronous communication, i.e., outputs and inputs of the same message are performed simultaneously.
- Consideration of a wide range of synchronization types (peer-to-peer, multicast, broadcast, gathering, ...).

*Overall goal:*

Safe communication, i.e., avoidance of communication errors.

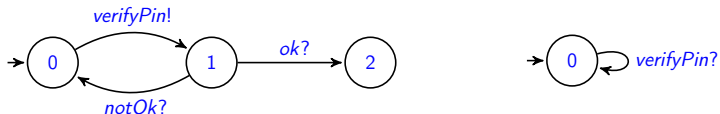
## Typical communication errors

- A component wants to send a message to another component which is not ready to receive it (missing reception).



*Literature:* [Brand, Zafiropulo 1983], [de Alfaro, Henzinger 2001], [Carmona, Cortadella 2002], [Larsen, Nyman, Wąsowski 2007], ...  
Point-to-point communication

- A component waits for a message from another component which does not send it (missing response).



*Literature:* [Carrez, Fantechi, Najm 2003], [Durán, Ouederni, Salaün 2012]

## Contribution of our work

- Uniform formalism for the specification of various synchronization types.
- Investigation and formalization of *receptiveness* and *responsiveness requirements* (communication requirements).
- Communication requirements depend on the particular type of synchronization used in a system.
  - We show how to derive communication requirements from any particular synchronization type.
  - Generic theory for communication-safety (compatibility):
    - synchronization type A  $\mapsto$  compatibility notion A
    - synchronization type B  $\mapsto$  compatibility notion B
    - ...

# Formal foundations

**Component automaton** is a tuple  $\mathcal{A} = (Q, q^0, \Sigma, \rightarrow)$  such that

- $Q$  is a set of states,  $q^0 \in Q$  is the initial state,
- $\Sigma$  is the disjoint union of sets  $\Sigma_{inp}$ ,  $\Sigma_{out}$ ,  $\Sigma_{int}$  of input, output, and internal actions,
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**Component system** is an indexed set  $\mathcal{S} = \{\mathcal{A}_i \mid i \in I\}$  of component automata  $\mathcal{A}_i = (Q_i, q_i^0, \Sigma_i, \rightarrow_i)$  such that the internal actions of all  $\mathcal{A}_i$  are unique within the system.

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**System transition** is a transition between system states

$$(q_i)_{i \in I} \xrightarrow{a} (q'_i)_{i \in I}$$

such that some components perform simultaneously a transition with shared action  $a$  (and the others do nothing).



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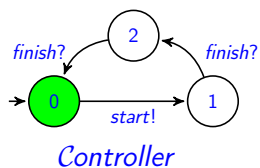
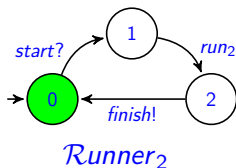
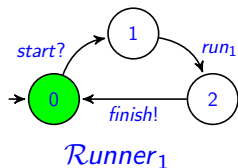
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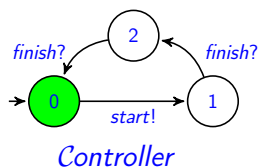
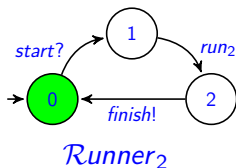
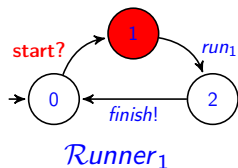
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**Not all system transitions are meaningful!**

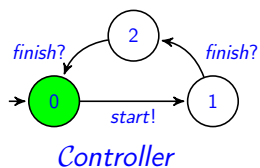
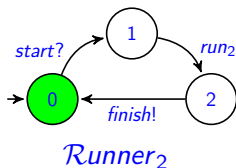
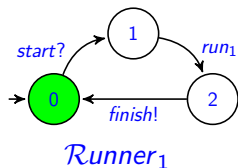
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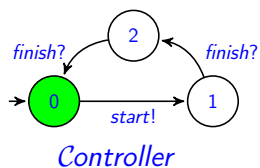
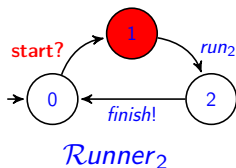
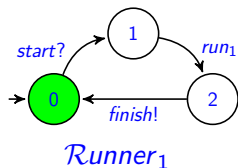
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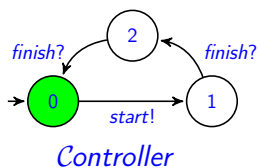
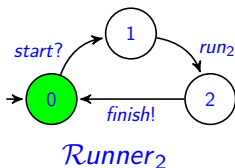
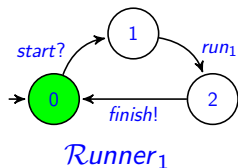
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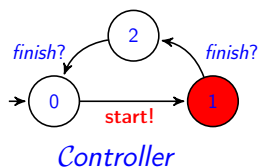
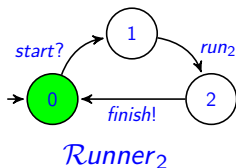
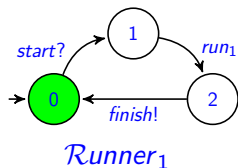
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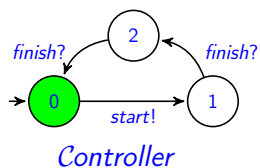
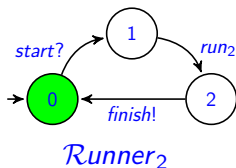
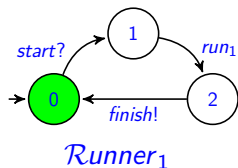
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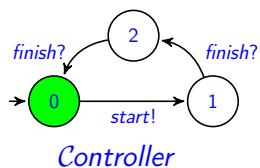
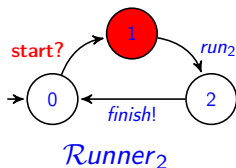
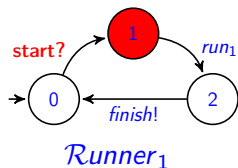


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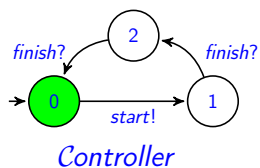
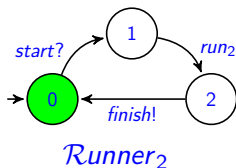
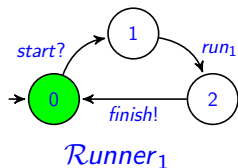




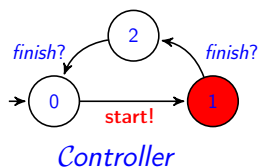
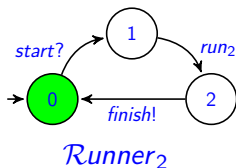
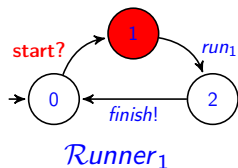
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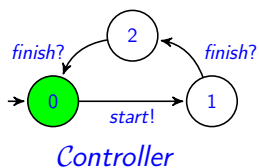
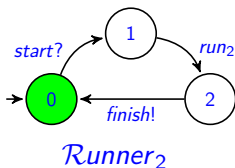
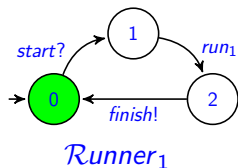
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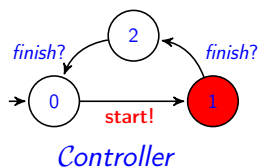
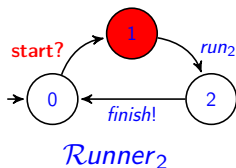
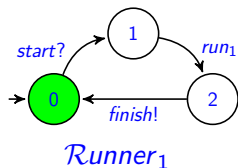
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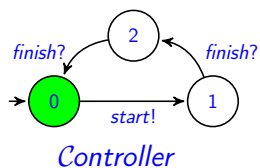
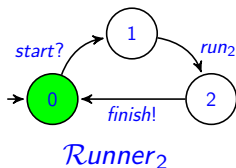
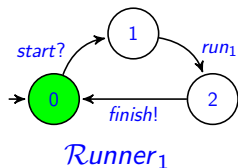
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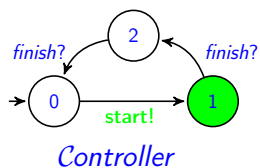
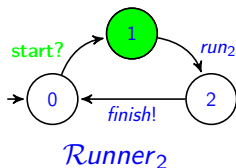
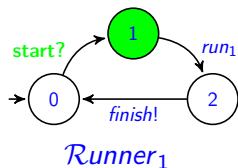
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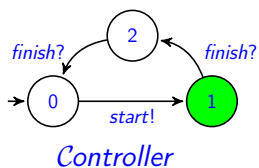
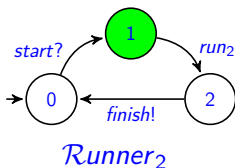
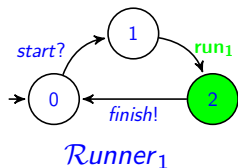
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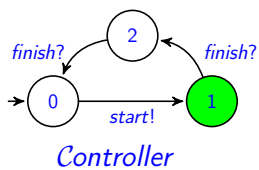
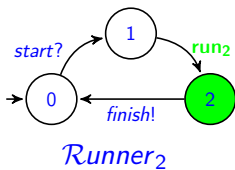
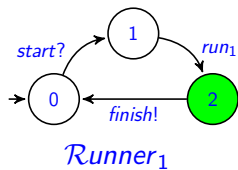


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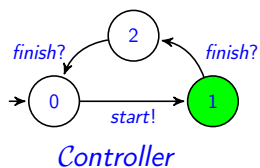
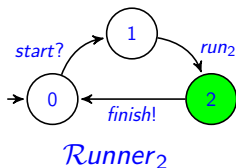
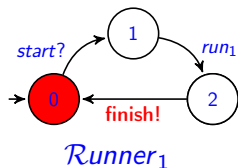




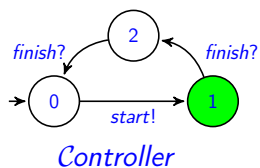
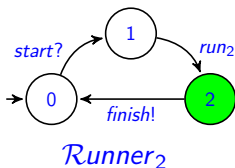
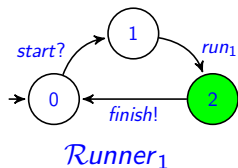
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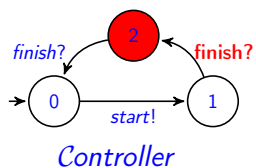
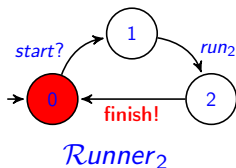
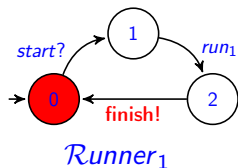
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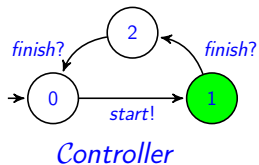
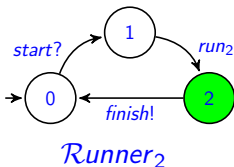
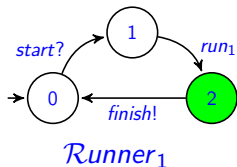
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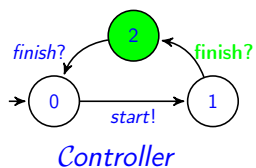
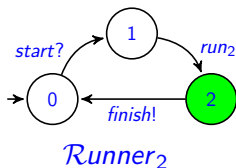
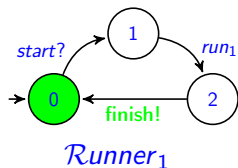
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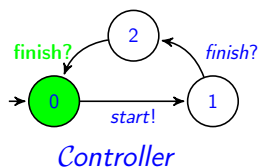
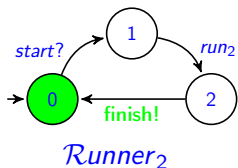
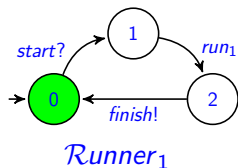
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## Team automata

Let  $\mathcal{S} = \{\mathcal{A}_i \mid i \in I\}$  be a component system and  $\delta$  be a set of system transitions.

The **team automaton** over  $\mathcal{S}$  with *synchronization policy*  $\delta$  is the component automaton

$$\mathcal{T} = \left( \prod_{i \in I} Q_i, (q_i^0)_{i \in I}, \Sigma, \delta \right)$$

such that  $\Sigma = \Sigma_{inp} \cup \Sigma_{out} \cup \Sigma_{int}$  with

- $\Sigma_{inp} = \left( \bigcup_{i \in I} \Sigma_{i,inp} \right) \setminus \Sigma_{out}$ ,
- $\Sigma_{out} = \bigcup_{i \in I} \Sigma_{i,out}$ ,
- $\Sigma_{int} = \bigcup_{i \in I} \Sigma_{i,int}$ .

Communication actions:  $\Sigma_{com} = \left( \bigcup_{i \in I} \Sigma_{i,out} \right) \cap \left( \bigcup_{i \in I} \Sigma_{i,inp} \right)$



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The **team automaton** over  $\mathcal{S}$  with *synchronization policy*  $\delta$  is the component automaton

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such that  $\Sigma = \Sigma_{inp} \cup \Sigma_{out} \cup \Sigma_{int}$  with

- $\Sigma_{inp} = (\bigcup_{i \in I} \Sigma_{i,inp}) \setminus \Sigma_{out}$ ,
- $\Sigma_{out} = \bigcup_{i \in I} \Sigma_{i,out}$ ,
- $\Sigma_{int} = \bigcup_{i \in I} \Sigma_{i,int}$ .

Communication actions:  $\Sigma_{com} = (\bigcup_{i \in I} \Sigma_{i,out}) \cap (\bigcup_{i \in I} \Sigma_{i,inp})$

**How to specify synchronization policies?**

# Synchronization types

*Idea:*

Specify how many senders and how many receivers are allowed to participate in a system transition  $(q_i)_{i \in I} \xrightarrow{a} (q'_i)_{i \in I}$ .

**Formally:**

A synchronization type is a pair  $(\text{snd}, \text{rcv})$  consisting of

- a sending multiplicity  $\text{snd}$  and
- a receiving multiplicity  $\text{rcv}$

where a multiplicity has one of the following forms:

- $[\text{min}, \text{max}]$  with  $\text{min} \in \mathbb{N}, \text{max} \in \mathbb{N} \cup \{*\}$  such that  $\text{min} \leq \text{max}$ ,
- $\text{ai}$  “action indispensable”, or
- $\text{si}$  “state indispensable”.

# Team automata and synchronization types

- For each system transition

$$t : (q_i)_{i \in I} \xrightarrow{a} (q'_i)_{i \in I}$$

- the group of components participating in  $t$  by outputting  $a$  is denoted by  $Out(t) \subseteq \mathcal{S}$ ,
- the group of components participating in  $t$  by inputting  $a$  is denoted by  $In(t) \subseteq \mathcal{S}$ .

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(!) A team automaton  $\mathcal{T}$  with synchronization policy  $\delta$  is of type  $(snd, rcv)$  if

- (i) for all transitions  $t \in \delta$  labeled with  $a \in \Sigma_{com}$ ,  
 $Out(t)$  fits to  $snd$  and  $In(t)$  fits to  $rcv$ , and
- (ii)  $\delta$  contains all such transitions.

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- $Out(t)$  fits to  $snd$  if
    - if  $snd = [\min, \max]$  then  $\min \leq |Out(t)| \leq \max$ ,
    - if  $snd = ai$  then  $Out(t) = \{ \mathcal{A}_i \in \mathcal{S} \mid a \in \Sigma_{i,out} \}$ ,
    - if  $snd = si$  then “as above” +  $a$  is enabled in  $q_i$ .

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  - $In(t)$  fits to  $rcv$  is defined analogously.

## Teams with familiar synchronization types

$([1, 1], [1, 1])$  binary, peer-to-peer communication

## Teams with familiar synchronization types

$([1, 1], [0, 1])$  binary, peer-to-peer communication, **lossy**



## Teams with familiar synchronization types

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- $([1, *], [0, *])$  master-slave communication, 'slaves never proceed alone'

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$([1, *], [1, 1])$	gathering

## Teams with familiar synchronization types

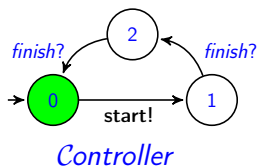
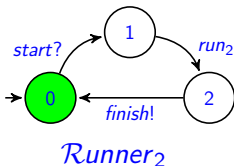
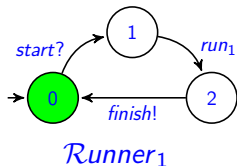
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$([0, *], [0, *])$	all system transitions

# Receptiveness requirements

*Basic idea:*

Whenever (a group of) components want to send a message, there should be (a group of) components ready to receive the message in conformance with the synchronization type.

**Example** with synchronization type  $([1, 1], ai)$ :

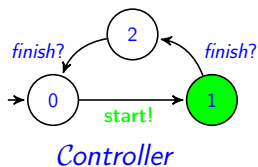
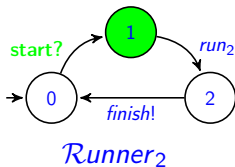
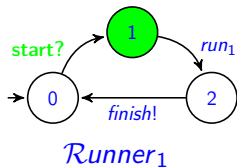


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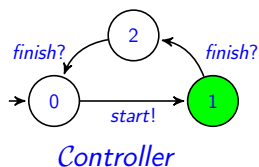
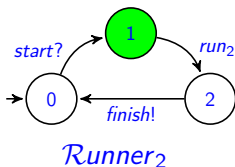
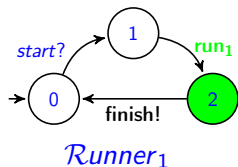


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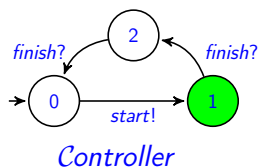
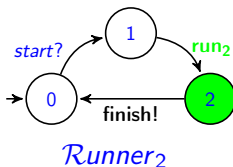
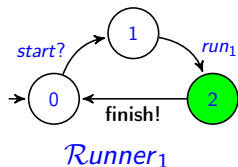


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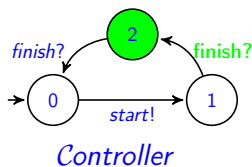
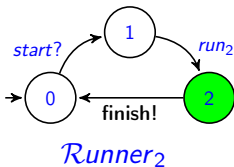
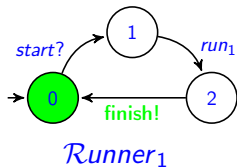


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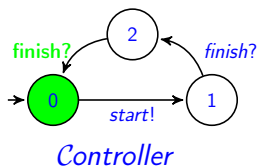
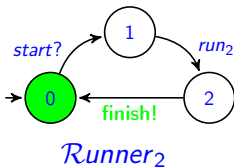
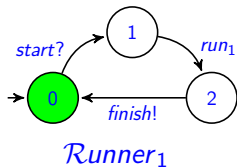


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## Receptiveness requirements: definition

Let  $\mathcal{S} = \{\mathcal{A}_i \mid i \in I\}$  be a component system and  $\mathcal{T}$  a team automaton with synchronization type  $(\text{snd}, \text{rcv})$ .

### Receptiveness requirement:

For any reachable state  $(q_i)_{i \in I}$  of  $\mathcal{T}$  and for any action  $a \in \Sigma_{\text{com}}$  we require:

If there is a group of components  $\mathcal{G} = \{\mathcal{A}_j \mid j \in J \subseteq I\}$  having  $a$  as an output action such that

- $a$  is enabled in each local state  $q_j$  with  $j \in J$  and
- $\mathcal{G}$  fits to  $\text{snd}$  (!),

then there exists a group of components  $\mathcal{H} = \{\mathcal{A}_k \mid k \in K \subseteq I\}$  having  $a$  as an input action such that

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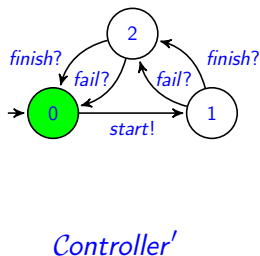
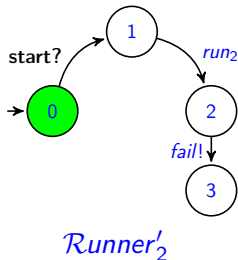
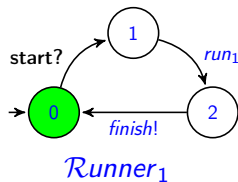
**Hence, the team can perform a transition**  $(q_i)_{i \in I} \xrightarrow{a} (q'_i)_{i \in I}$

# Responsiveness requirements

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Whenever (a group of) components wait to receive one of the messages  $a_1, \dots, a_n$ , there should be (a group of) components able to send (at least) one of the messages  $a_1, \dots, a_n$  in conformance with the synchronization type (*external choice*).

**Example** with synchronization type  $([1, 1], ai)$ :

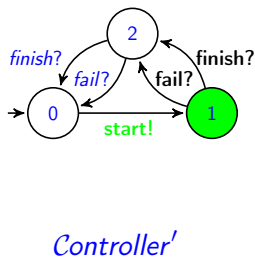
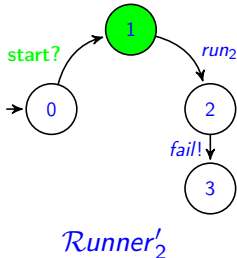
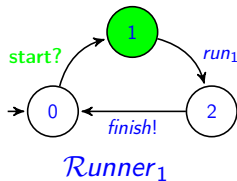


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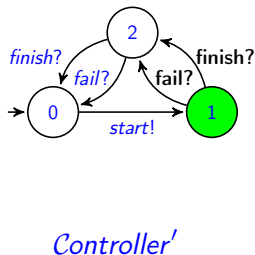
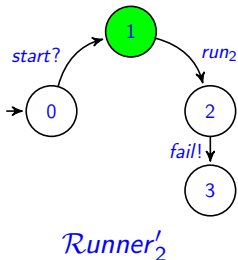
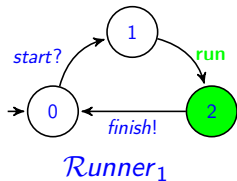


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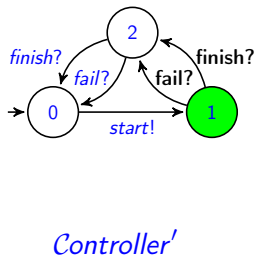
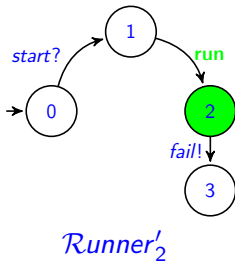
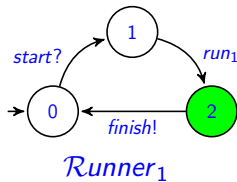


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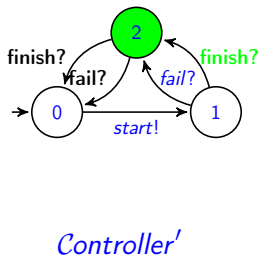
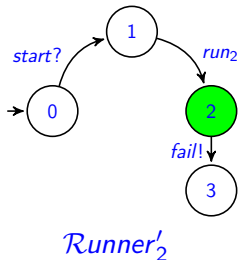
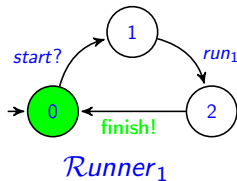


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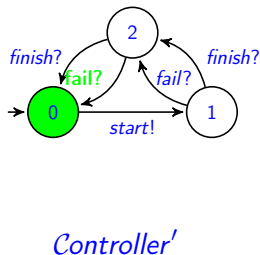
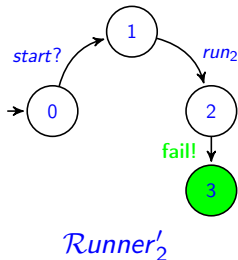
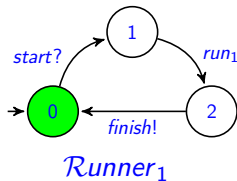


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Let  $\mathcal{S} = \{\mathcal{A}_i \mid i \in I\}$  be a component system and  $\mathcal{T}$  a team automaton with synchronization type  $(\text{snd}, \text{rcv})$ .

### Responsiveness requirement:

For any reachable state  $(q_i)_{i \in I}$  of  $\mathcal{T}$  and for any set of actions  $a_1, \dots, a_n \in \Sigma_{\text{com}}$  we require:

If there is a group of components  $\mathcal{G} = \{\mathcal{A}_j \mid j \in J \subseteq I\}$  having  $a_1, \dots, a_n$  as input actions such that

- exactly all  $a_1, \dots, a_n$  are enabled in each local state  $q_j$  with  $j \in J$  and
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then there exists a group of components  $\mathcal{H} = \{\mathcal{A}_k \mid k \in K \subseteq I\}$  having (at least) one  $a \in \{a_1, \dots, a_n\}$  as output action such that

- $a$  is *weakly* enabled in each local state  $q_k$  with  $k \in K$  and
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### Responsiveness requirement:

For any reachable state  $(q_i)_{i \in I}$  of  $\mathcal{T}$  and for any set of actions  $a_1, \dots, a_n \in \Sigma_{\text{com}}$  we require:

If there is a group of components  $\mathcal{G} = \{\mathcal{A}_j \mid j \in J \subseteq I\}$  having  $a_1, \dots, a_n$  as input actions such that

- exactly all  $a_1, \dots, a_n$  are enabled in each local state  $q_j$  with  $j \in J$  and
- $\mathcal{G}$  fits to  $\text{rcv}$  (!),

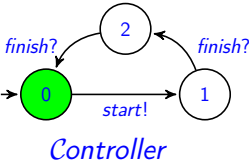
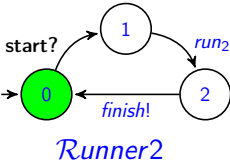
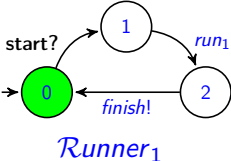
then there exists a group of components  $\mathcal{H} = \{\mathcal{A}_k \mid k \in K \subseteq I\}$  having (at least) one  $a \in \{a_1, \dots, a_n\}$  as output action such that

- $a$  is *weakly* enabled in each local state  $q_k$  with  $k \in K$  and
- $\mathcal{H}$  fits to  $\text{snd}$  (!).

**Hence, the team can perform a transition**  $(q_i)_{i \in I} \xrightarrow{a_i} (q'_i)_{i \in I}$

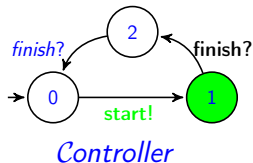
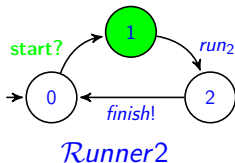
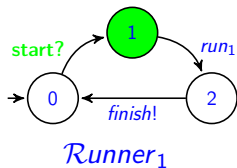
# Example: non-responsive system

Use synchronization type  $([1, 2], ai)$  instead of  $([1, 1], ai)$  in the system:



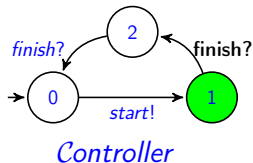
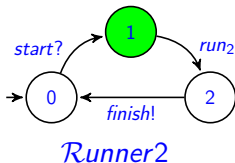
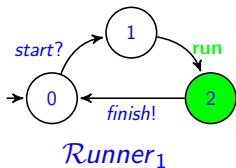
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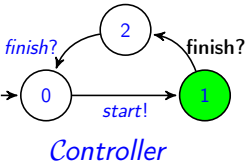
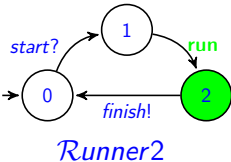
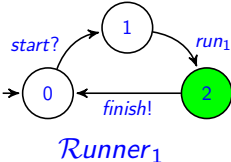
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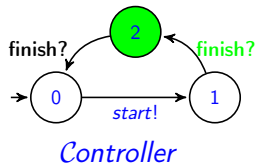
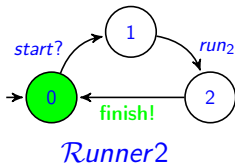
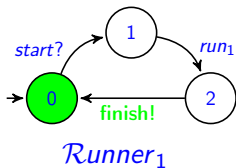
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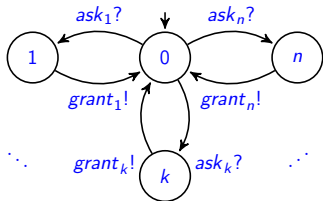


# Conclusion

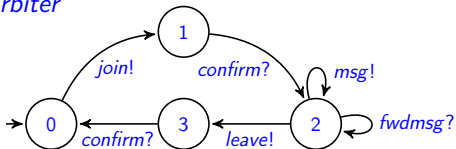
- Meta-theory for communication-safety (compatibility) in multi-component systems applicable to many concrete synchronization types.
- Thus we have a means to compare compatibility notions from the literature (for closed/open systems, pessimistic/optimistic receptiveness, strong/weak compatibility).
- Next steps:
  - larger case studies,
  - asynchronous communication,
  - synchronization types per action,
  - encapsulation and hierarchical constructions.



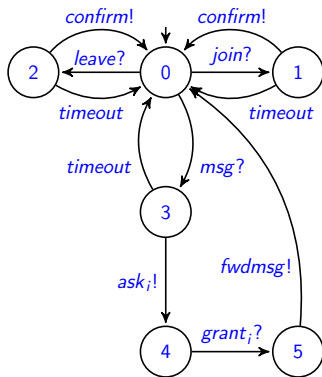
# Teaser: a distributed chat system



(a) *Arbiter*



(b) *Client<sub>i</sub>*

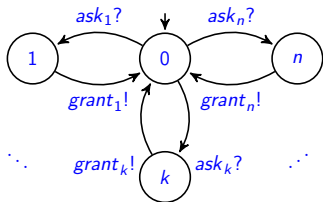


(c) *Server<sub>j</sub>*

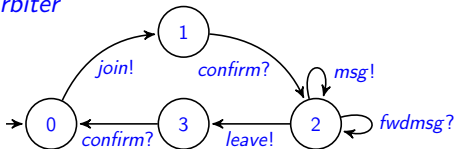
Automata *Arbiter*, *Client<sub>i</sub>* and *Server<sub>j</sub>*, with  $1 \leq i \leq m$  and  $1 \leq j \leq n$

Messaging protocol: clients communicate messages ( $msg$ ) to the servers (several for robustness) which, upon approval by the arbiter, broadcast the messages ( $fwdmsg$ ) to all clients in the chat.

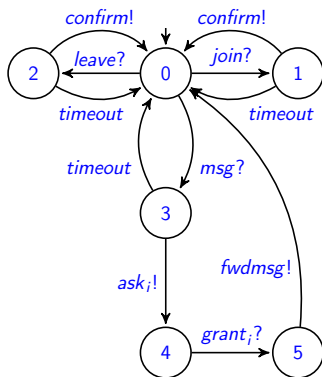
# Teaser: a distributed chat system



(a) *Arbiter*



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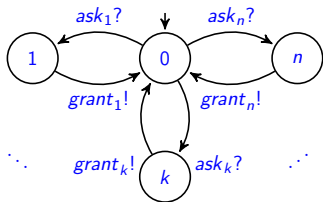


(c) *Server<sub>j</sub>*

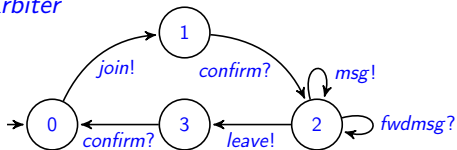
Problems with team  $\mathcal{T}_{chat}$  with synchronisation type  $([1, 1], [1, *])$ :

1. Server (after communication with client and arbiter) in state 5 not ready to receive  $join?$  from another client who wants to join! (The server would be allowed to move to state 0 with an internal action, but not upon communicating with other clients [Hennicker, Knapp 2015])

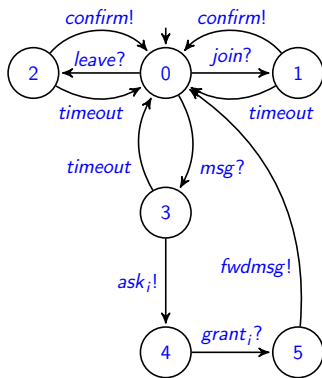
# Teaser: a distributed chat system



(a) Arbiter



(b) Client<sub>i</sub>



(c) Server<sub>j</sub>

Problems with team  $\mathcal{T}_{chat}$  with synchronisation type  $([1, 1], [1, *])$ :

**2.** A client may send a message to two servers, who both forward it!  
 (This could be avoided by defining synchronization types per action, since this would allow to define synchronization type  $([1, 1], [1, 1])$  for  $msg$ )